

*This brochure supplement provides information about Ronald Lotti that supplements the Beck Bode, LLC brochure. You should have received a copy of that brochure. Please contact us at (617) 209-2224 if you did not receive Beck Bode, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Mr. Lotti is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **Beck Bode, LLC**

Form ADV Part 2B – Individual Disclosure Brochure

*for*

### **Ronald Lotti, CEPA**

Personal CRD Number: 5527529  
Investment Adviser Representative

Beck Bode, LLC  
858 Washington Street Suite 100  
Dedham, MA 02026

## Item 2: Educational Background and Business Experience

**Name:** Ronald Lotti                      **Born:** 1981

### **Educational Background and Professional Designations:**

#### **Education:**

BA in Economics and Finance, Southern New Hampshire University-2012  
MA in Financial Planning, University of Georgia 2019

#### **Designations:**

##### **CEPA - Certified Exit Planning Advisor**

The Certified Exit Planning Advisor (CEPA) program was created by the Exit Planning Institute (EPI) and is the most widely accepted and endorsed professional exit planning credential in the world. The EPI is the premier international membership organization serving the educational needs of the exit planning profession, and to bring together financial advisors, business brokers, attorneys, and other business advisors to draw upon their combined expertise to better serve the needs of small and mid-sized business owners worldwide. The common thread uniting these different professionals is their commitment to helping Clients exit their companies successfully. To earn the CEPA designation, a professional must

- Attend a 5-day executive MBA style program held twice per year in Chicago.
- Successfully pass the 3.5 hour proctored exam.
- The CEPA must be renewed every 3 years and requires 40 hours of exit planning related continued education.

To learn more about the CEPA, visit <https://exit-planning-institute.org/program/certified-exit-planning-advisor/>

#### **Business Background:**

|                   |   |
|-------------------|---|
| 09/2020 - Present | Financial Advisor<br>Beck Bode, LLC             |
| 2019 - 2020       | Owner and Founder<br>Apollo Financial Group     |
| 2014-2018         | Regional Vice President<br>Fidelity Investments |

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business for Mr. Lotti.

### **Item 4: Other Business Activities**

Mr. Lotti is not engaged in any investment-related business or occupation (other than this advisory firm) that provide a substantial source of Mr. Lotti's compensation or involve a substantial amount of his time.

### **Item 5: Additional Compensation**

Mr. Lotti does not receive any economic benefit from any person, company, or organization, other than Beck Bode, LLC in exchange for providing clients advisory services through Beck Bode, LLC.

### **Item 6: Supervision**

Mr. Lotti is supervised by Benjamin Beck, the firm's Chief Compliance Officer. Benjamin Beck is responsible for ensuring that Mr. Lotti adheres to all required regulations as an employee of an SEC registered investment adviser, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Benjamin Beck is (617) 209-2224.